

A density functional theory study of the mechanisms of oxidation of ethylene by rhenium oxide complexes†

Cite this: *Dalton Trans.*, 2013, **42**, 10885

Albert Aniagyei, Richard Tia* and Evans Adei

The oxo complexes of group VII B are of great interest for their potential toward epoxidation and dihydroxylation. In this work, the mechanisms of oxidation of ethylene by rhenium-oxo complexes of the type $LReO_3$ ($L = O^-, Cl, CH_3, OCH_3, Cp, NPH_3$) have been explored at the B3LYP/LACVP* level of theory. The activation barriers and reaction energies for the stepwise and concerted addition pathways involving multiple spin states have been computed. In the reaction of $LReO_3$ ($L = O^-, Cl, CH_3, OCH_3, Cp, NPH_3$) with ethylene, it was found that the concerted [3 + 2] addition pathway on the singlet potential energy surfaces leading to the formation of a dioxylate intermediate is favored over the [2 + 2] addition pathway leading to the formation of a metallaoxetane intermediate and its re-arrangement to form the dioxylate. The activation barrier for the formation of the dioxylate on the singlet PES for the ligands studied is found to follow the order $O^- > CH_3 > NPH_3 > CH_3O^- > Cl^- > Cp$ and the reaction energies follow the order $CH_3 > O^- > NPH_3 > CH_3O^- > Cl^- > Cp$. On the doublet PES, the [2 + 2] addition leading to the formation of the metallaoxetane intermediate is favored over dioxylate formation for the ligands $L = CH_3, CH_3O^-, Cl^-$. The activation barriers for the formation of the metallaoxetane intermediate are found to increase for the ligands in the order $CH_3 < Cl^- < CH_3O^-$ while the reaction energies follow the order $Cl^- < CH_3O^- < CH_3$. The subsequent re-arrangement of the metallaoxetane intermediate to the dioxylate is only feasible in the case of $ReO_3(OCH_3)$. Of all the complexes studied, the best dioxylating catalyst is ReO_3Cp (singlet surface); the best epoxidation catalyst is ReO_3Cl (singlet surface); and the best metallaoxetane formation catalyst is $ReO_3(NPH_3)$ (triplet surface).

Received 27th February 2013,

Accepted 30th May 2013

DOI: 10.1039/c3dt50539a

www.rsc.org/dalton

1. Introduction

The role of transition metal oxo compounds in the dihydroxylation of olefins is an important class of oxygen transfer reactions.^{1–3} Many experimental and theoretical investigations have focused on mechanistic aspects of this type of reaction. Olefin dihydroxylation catalyzed by transition metal oxo species of the type LMO_3 has been the subject of extensive theoretical studies.^{4–12}

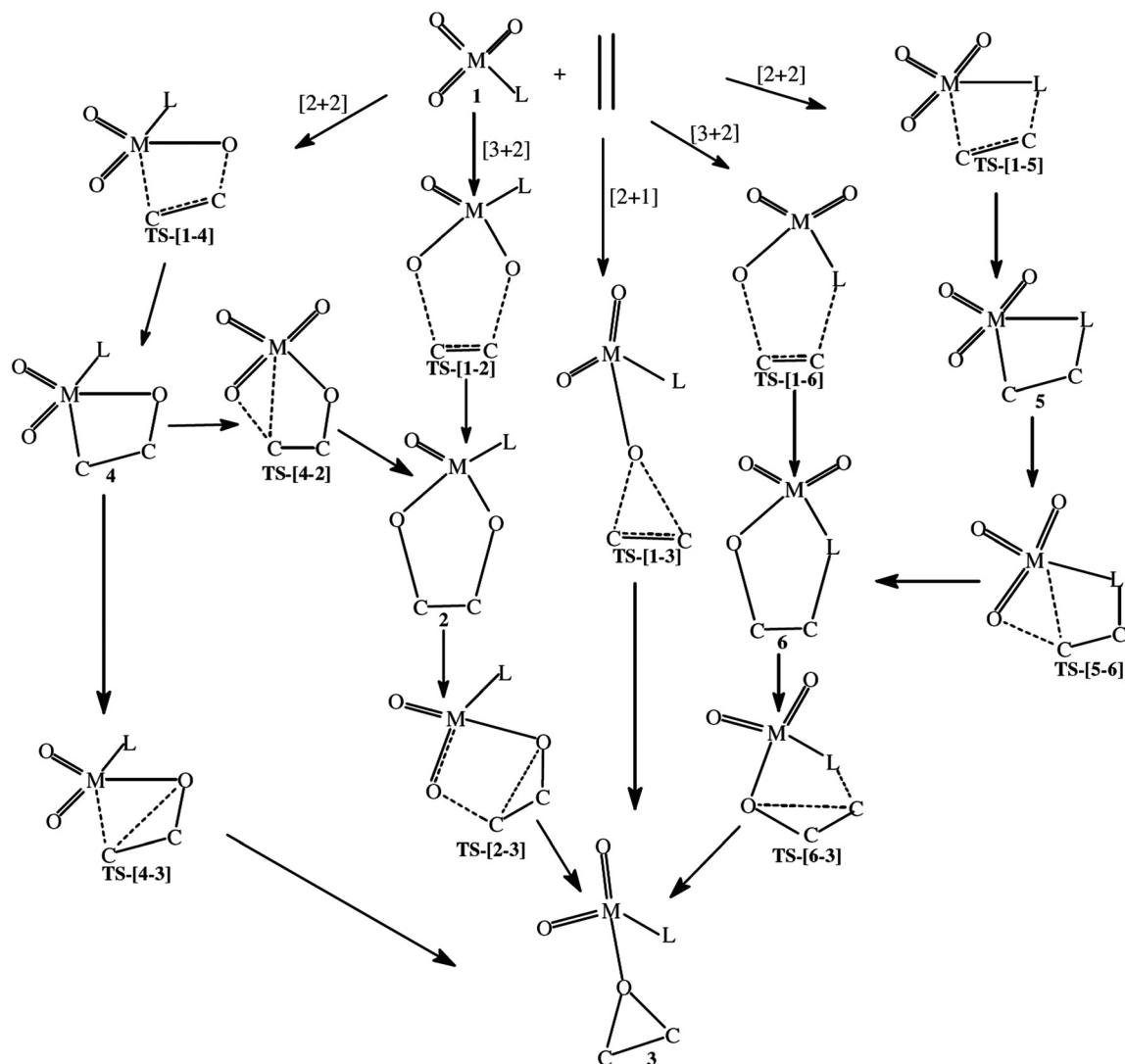
In the initial step of the dihydroxylation reaction catalyzed by transition metal oxo compounds such as MnO_4^- , the olefin reacts directly with a $O=Mn=O$ functionality of MnO_4^- in a [2 + 3] manner to form a metalladioxolane, a five-membered metallacycle^{13,14} (structure 2 in Scheme 1). The intermediacy of a metallaoxetane (structure 4) arising from the [2 + 2] addition pathway as suggested by Sharpless *et al.*¹⁵ for chromyl chloride oxidation of olefin was ruled out, at least for MnO_4^- ,

by density functional theory (DFT) calculations¹¹ and corroborated by experimental kinetic isotope effects studies.^{7,16} However, for ethylene addition to $Cl_2(O)ReCH_2$, the activation barrier for the [2 + 2] addition pathway across the Re–C bond of the complex was found by Tia and Adei¹⁷ to be lower than the barrier for the [3 + 2] addition across the O–Re–C and O–Re–Cl bonds of $Cl_2(O)ReCH_2$. Deubel *et al.*¹⁸ found that the activation barrier for the [2 + 2] addition pathway becomes lower than the [3 + 2] addition pathways for ketene addition to $LReO_3$ ($L = NPH_3, O^-$).

Theoretical calculations focusing on the [3 + 2] and [2 + 2] addition pathways were further explored for the transition metal-oxo complexes of the type $LReO_3$ with ethylene.^{19,20} Pietsch *et al.*¹⁹ employed a hybrid density functional theory B3LYP in conjunction with the Hay–Wadt relativistic effective core potential (ECP) for Re (LANL2) and the 6-31G* basis set for other ligands, to compare the [2 + 2] and [2 + 3] addition pathways by focusing only on the thermodynamics for ethylene addition to $LReO_3$ ($L = Cp^*, Cp, Cl, CH_3, OH, OCH_3, O^-$) yielding either the metalladioxolane *via* the [3 + 2] addition pathway or the metallaoxetane *via* the [2 + 2] addition pathway. By employing qualitative molecular orbital diagrams they asserted that the π -donor strength of the ligands L is

Department of Chemistry, Kwame Nkrumah University of Science and Technology, Kumasi, Ghana. E-mail: albertaniagyei@yahoo.com, richtiagh@yahoo.com, eadei@yahoo.com

† Electronic supplementary information (ESI) available. See DOI: 10.1039/c3dt50539a



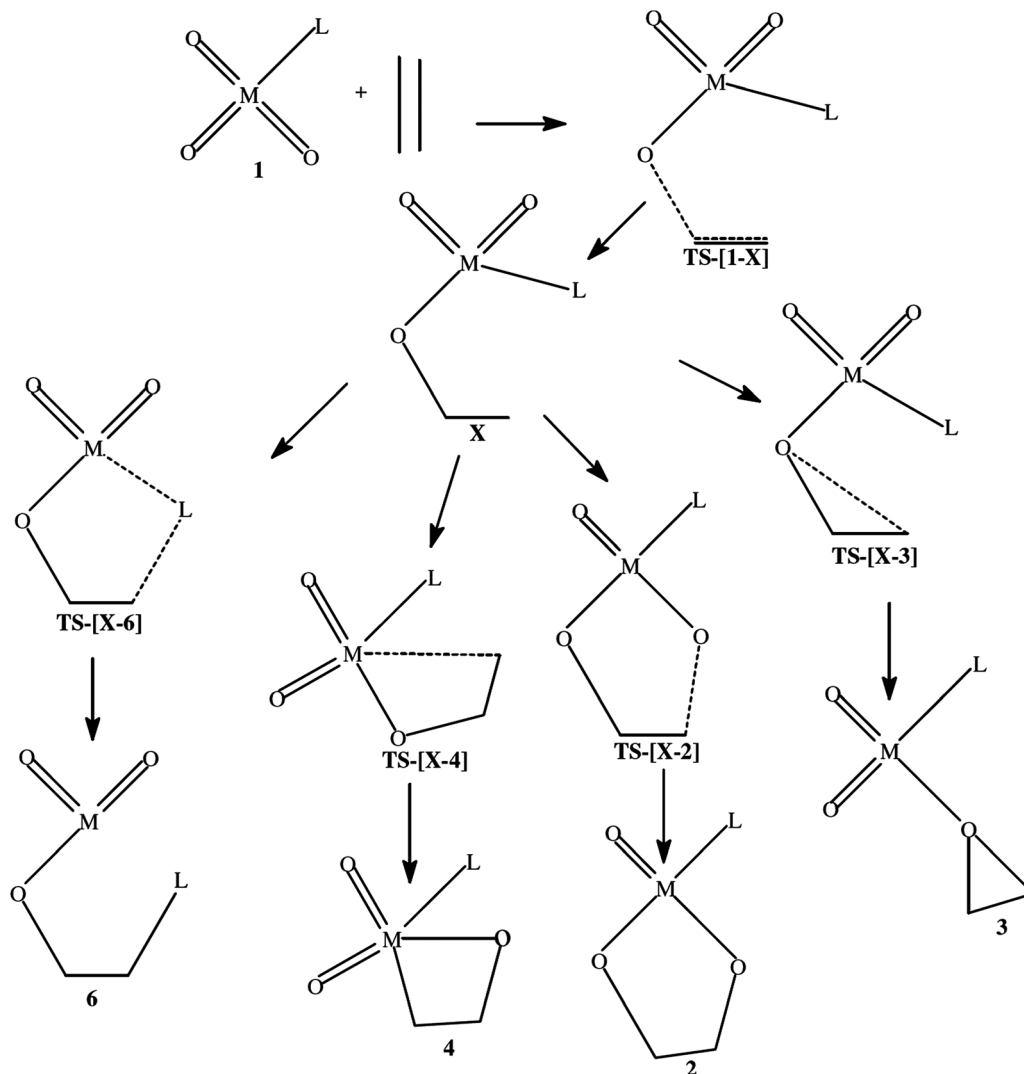
Scheme 1 Proposed concerted pathway for the reaction of LMO_3 ($\text{M} = \text{Re}$, $\text{L} = \text{O}^-$, Cl^- , CH_3O^- , CH_3 , Cp , NPH_3) with ethylene.

responsible for the reactivity differences of this type of complex. To verify these claims, Deubel and Frenking²⁰ reported the calculated potential energy surfaces for the [3 + 2] and [2 + 2] addition of LReO_3 ($\text{L} = \text{O}^-$, Cl , Cp) to ethylene and for the interconversion of the metallaoxetane to the dioxylate, at the B3LYP level²¹ in conjunction with relativistic small-core ECPs with a valence basis set splitting (441/2111/21) for Re, and 6-31G(d) all-electron basis sets for all other atoms. Their results indicated that for all complexes of the type LReO_3 ($\text{L} = \text{O}^-$, Cl , Cp), the [2 + 2] pathway has a higher activation barrier than the corresponding [3 + 2] pathway. The activation barriers of the rearrangement of the metallaoxetane intermediate to the dioxylate were calculated to be higher ($>50 \text{ kcal mol}^{-1}$). Deubel and Frenking²⁰ rationalized the reactivity differences using a charge-transfer model and a frontier orbital argument.

Gisdakis and Rösch²² further extended the works of Pietsch *et al.*¹⁹ and Deubel and Frenking²⁰ by assigning charges ($q = -1, 0, 1$) to the complex LReO_3^q , such that the systems are iso-electronic to OsO_4 . They explored the mechanism of the [2 + 3]

cycloaddition of LReO_3 ($\text{L} = \text{O}^-$, CH_3 , Cl , Cp) to ethylene using the B3LYP functional, with effective core potentials and double-zeta basis sets, LanL2DZ, for the transition metals and 6-311G(d,p) basis sets for H, C, O, and F. Taking a cue from the work of Frenking and Deubel²⁰ that the [2 + 2] pathway has a higher barrier, Gisdakis and Rösch²² did not study the formation of the diol from the metallaoxetane along the [2 + 2] addition pathway.

The aim of this work is to extend the works of Pietsch *et al.*,¹⁹ Deubel and Frenking²⁰ and Gisdakis and Rösch²² by employing hybrid density functional theory at the B3LYP/LACVP* level of theory to explore several concerted and step-wise [3 + 2] and [2 + 2] addition pathways for the oxidation of ethylene by LReO_3 ($\text{L} = \text{O}^-$, Cl , Cp , CH_3 , OCH_3 , NPH_3) and to investigate the possible mechanistic channels for the formation of an epoxide precursor (Schemes 1 and 2). Multiple spin states have been considered in the calculations. This is necessary because organometallic reactions are known to occur on more than the spin surface;²³ many reactions involve



Scheme 2 Proposed stepwise pathway for the reaction of LMO_3 ($\text{M} = \text{Re}$, $\text{L} = \text{O}^-$, Cl^- , CH_3O^- , CH_3 , Cp , NPH_3) with ethylene.

several states of different spin.²⁴ A change of spin state can affect the molecular structure in terms of bond lengths, angular distortions and even overall molecular geometry²⁵ and spin crossing effects can dramatically affect reaction mechanisms of organometallic transformations.²⁶

2. Details of calculation

All calculations were carried out with Spartan'08 V1.2.0 and Spartan'10.1.10 Molecular Modeling programs²⁷ at the DFT B3LYP/LACVP* level of theory. The B3LYP functional is a Hartree–Fock DFT hybrid functional. The LACVP* basis set is a relativistic effective core-potential that describes the atoms H–Ar with the 6-31G* basis while heavier atoms are modeled with the LANL2DZ basis set which uses the all-electron valence double zeta basis set (D95V), developed by Dunning, for first row elements²⁸ and the Los Alamos ECP plus double zeta basis set developed by Wadt and Hay for the atoms Na–La, Hf–Bi.^{29–31}

The starting geometries of the molecular systems were constructed using Spartan's graphical model builder and minimized interactively using the sybyl force field.³² All geometries were fully optimized without any symmetry constraints. A normal mode analysis was performed to verify the nature of the stationary points. Equilibrium geometries were characterized by the absence of imaginary frequencies. The transition state structures were located by a series of constrained geometry optimizations in which the forming – and breaking – of bonds were fixed at various lengths while the remaining internal co-ordinates were optimized. The approximate stationary points located from such a procedure were then fully optimized using the standard transition state optimization procedure in Spartan. All first-order saddle-points were shown to have a Hessian matrix with a single negative eigenvalue, characterized by an imaginary vibrational frequency along the reaction coordinate.

For the ReO_3Cl , $\text{ReO}_3(\text{CH}_3)$, $\text{ReO}_3(\text{OCH}_3)$, ReO_3Cp and $\text{ReO}_3(\text{NPH}_3)$ systems, the singlet and triplet species were

computed as neutral structures while the doublet and quartet species were computed as anions. For the ReO_4^- system the singlet and triplet species were computed as anions while the doublet and quartet species were computed as neutral structures.

3. Results and discussion

3.1. Reaction of ReO_4^- with ethylene

Fig. 1 shows the relative energies of the main stationary points (reactants, transition states, intermediates and products) involved in the reaction between ReO_4^- and ethylene as well as some of the optimized structures. (The full set of optimized structures for all the systems herein reported is shown in Fig. S1–S6 in the ESI† attached.) The singlet ReO_4^- has all the Re–O bonds equal at 1.738 Å whereas they are different in the doublet and quartet structures. The doublet structure is 72.15 kcal mol⁻¹ more stable than the quartet structure; the doublet and quartet species were computed as neutral structures.

On the singlet surface, the [3 + 2] addition of the C=C bond of ethylene across the O=Re=O bonds of ReO_4^- to form dioxorhena-2,5-dioxolane has an activation barrier of 36.3 kcal mol⁻¹ and endothermicity of 16.5 kcal mol⁻¹ in agreement with the reported activation and reaction energies of 37.7 and 19.4 kcal mol⁻¹ by Deubel and Frenking²⁰ and Gisdakis and Rösch.²² A triplet dioxorhena-2,5-dioxolane was located and

found to be 25.0 kcal mol⁻¹ less stable than the singlet product but a triplet transition state could not be located. The formation of the rhenaoxetane intermediate on a singlet PES by [2 + 2] addition of the C=C bond of ethylene across the Re=O bond of the perrhenate complex has an activation barrier of 46.7 kcal mol⁻¹ and an endothermicity of 20.3 kcal mol⁻¹. This also agrees with the work of Deubel and Frenking²⁰ who calculated a barrier and endothermicity of 47.2 and 21.6 kcal mol⁻¹ respectively along the [2 + 2] addition route. A triplet rhenaoxetane has been found to be 48.7 kcal mol⁻¹ less stable than the singlet rhenaoxetane. No [3 + 2] or [2 + 2] reaction paths were found on the doublet and quartet surfaces.

The reaction surface was explored for the re-arrangement of the metallaoxetane intermediate to the dioxyate (TS-[4-2] in Scheme 1) as suggested by Sharpless *et al.*¹⁵ for the chromyl chloride oxidation of olefins. The re-arrangement of the singlet rhenaoxetane to the dioxyate through the transition state has an activation barrier of 16.1 kcal mol⁻¹. No doublet, triplet and quartet transition states were located for the re-arrangement from the rhenaoxetane to the dioxyate. The overall activation barrier for the re-arrangement of the singlet rhenaoxetane to the dioxyate is 16.1 kcal mol⁻¹, which is lower than the activation barrier for the direct [3 + 2] addition of ethylene across the two oxygen atoms of singlet ReO_4^- . However, since the first-step of the reaction, which is the formation of the rhenaoxetane, has a higher activation barrier (46.7 kcal mol⁻¹ in Fig. 1), the formation of the dioxyate through this route is unfavorable.

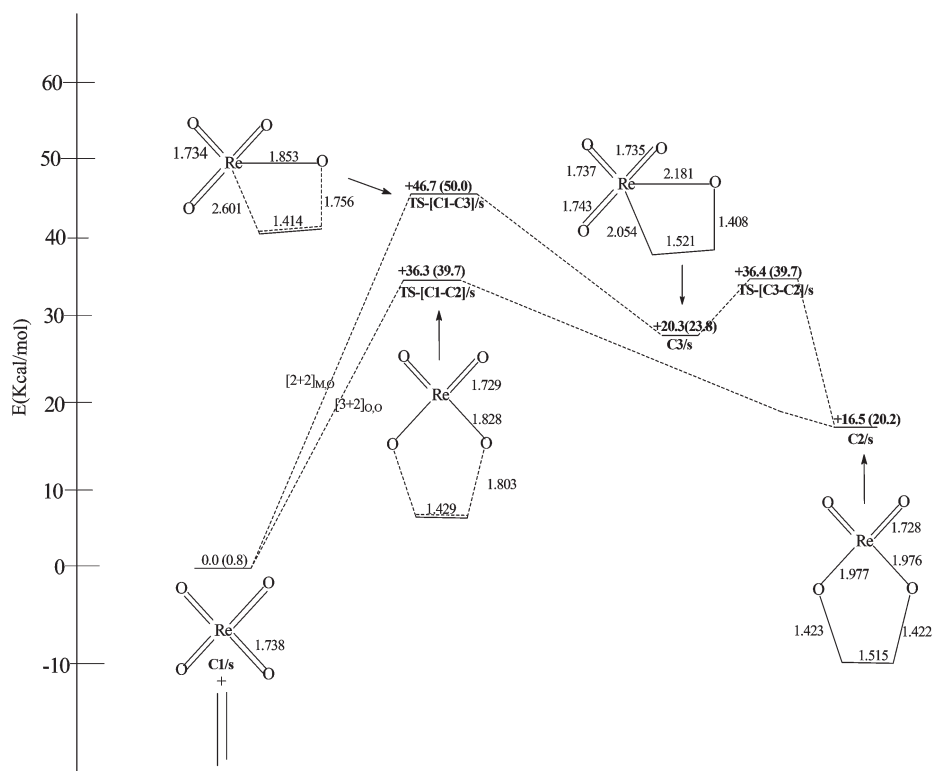


Fig. 1 Energetics of the reactions of ReO_4^- with ethylene. Energies with zero point corrections in parenthesis.

The potential energy surface of the reaction of rhenium tetraoxide with ethylene was further explored in an attempt to locate an epoxide precursor ($\text{O}_3\text{-Re-OC}_2\text{H}_4$) (3 in Scheme 1) but no such minimum was found on the reaction surface. Attempts to locate transition states **TS-[4-3]**, **TS-[2-3]** and **TS-[1-3]** of Scheme 1, which could provide a pathway to the formation of the epoxide precursor through re-arrangement of the metallaoxetane, the dioxylate or by direct addition,^{33,34} proved futile. All these are indications that the reaction of rhenium tetraoxide with ethylene does not lead to the formation of an epoxide.

3.2. Reaction of ReO_3Cl with ethylene

Fig. 2 and 3 show the relative energies of the main stationary points involved in the reaction between ReO_3Cl and ethylene and some of the optimized structures on the singlet and doublet PESs respectively. The singlet species is 53.7 kcal mol⁻¹ more stable than the triplet while the doublet species is 58.9 kcal mol⁻¹ more stable than the quartet.

On the singlet surface, the direct [3 + 2] addition of the C=C bond of ethylene across the O=Re=O bonds of ReO_3Cl to form the dioxylate has an activation barrier of 21.0 kcal mol⁻¹ and an endothermicity of 0.5 kcal mol⁻¹, which are similar to the energetics obtained in the works of Deubel and Frenking,²⁰ Gisdakis and Rösch²² and Boehme *et al.*³⁵ A triplet dioxylate was found to be 56.3 kcal mol⁻¹ more stable than the singlet dioxylate but a triplet transition state for its formation could not be located.

On the doublet surface, the [3 + 2] addition of the C=C π bond of ethylene across the O=Re=O bonds of ReO_3Cl can either follow the concerted or stepwise addition mechanism (Scheme 2). For ethylene addition to ReO_3Cl through the

concerted addition pathway, the activation barrier is 33.2 kcal mol⁻¹. On the stepwise addition pathway, the C=C π bond attaches itself to an oxo-ligand of the doublet reactant ReO_3Cl **C4/d** through the transition state **TS-[C4-X]/d** to form the intermediate **X/d** (structure **X** in Scheme 2). The activation barrier for the first-step is 29.3 kcal mol⁻¹. The intermediate **X/d** can then re-arrange through transition state 2 in Scheme 2 to form the dioxylate species, with an activation barrier and exothermicity of 43.1 and 18.0 kcal mol⁻¹. Thus, the barrier along the stepwise addition pathway is higher than the concerted route. A quartet dioxylate has been computed to be 31.2 kcal mol⁻¹ more stable than the doublet dioxylate but there was no transition state for its formation.

The stepwise [3 + 2] addition of the C=C bond of ethylene across the O=Re-Cl bonds of doublet ReO_3Cl , a pathway that was not reported in the earlier studies of Deubel and Frenking²⁰ and Boehme *et al.*³⁵ leads to the intermediate **X/d** through the transition state **TS-[C4-X]/d**. The formation of the intermediate has an activation barrier of 29.3 kcal mol⁻¹. The re-arrangement of the intermediate **X/d** to the five-membered metallacycle **C6/d** through transition state **TS-[X-6]/d** in Scheme 2 has an activation barrier of 15.1 kcal mol⁻¹. The resulting five-membered metallacycle is 14.0 kcal mol⁻¹ endothermic. The quartet five-membered metallacycle is computed to be 25.5 kcal mol⁻¹ more stable than the doublet. However, no transition state was located connecting the reactants to the product on the quartet surface.

On the singlet surface, the concerted [3 + 2] addition of the C=C π bond of ethylene across the O=Re-Cl functionality of ReO_3Cl has an activation barrier of 26.3 kcal mol⁻¹ and the resulting five-membered species **C6/s** is 20.2 kcal mol⁻¹ endothermic. No stepwise pathway was found for [3 + 2]

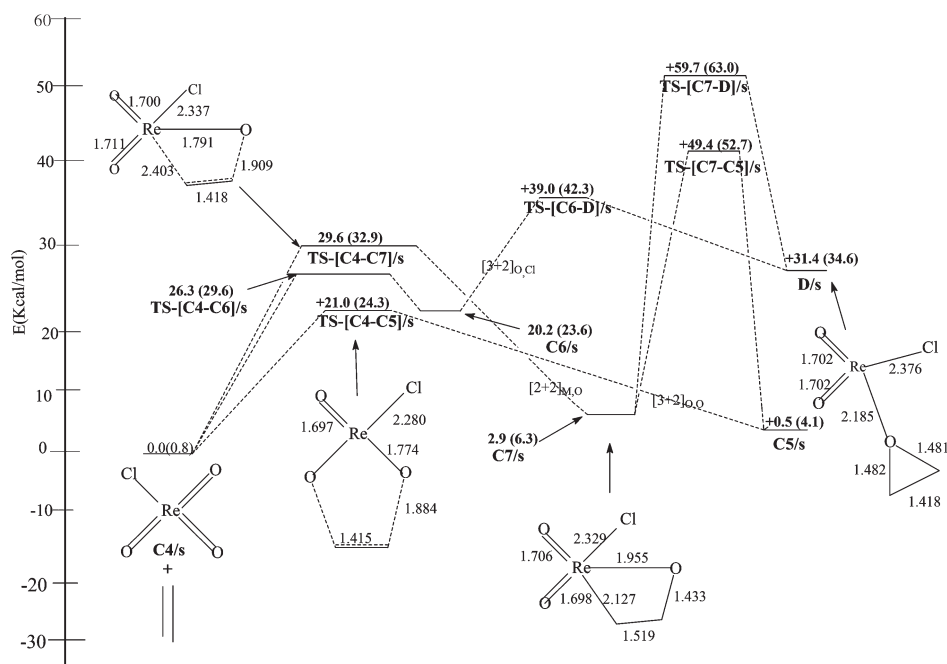


Fig. 2 Energetics of the reactions of ReO_3Cl with ethylene on the singlet PES. Energies with zero point corrections in parenthesis.

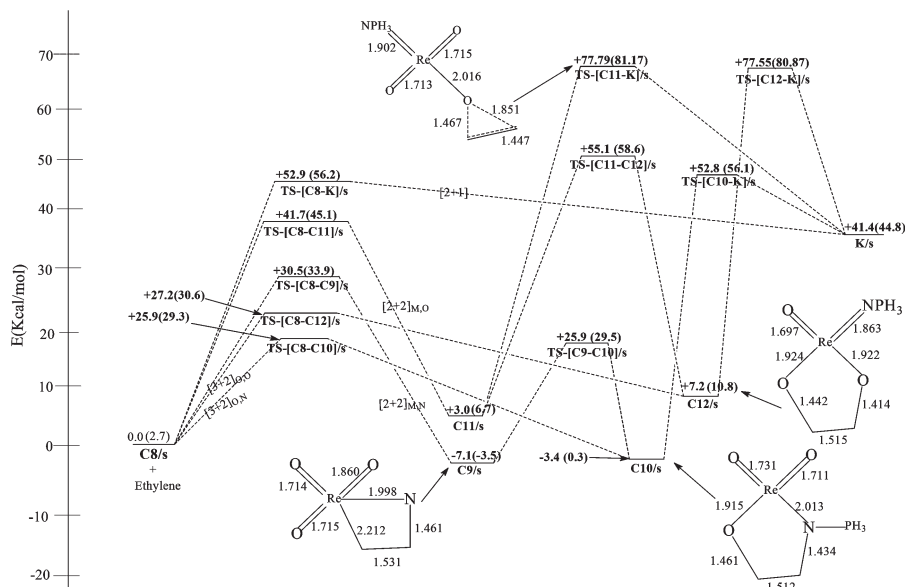


Fig. 4 Energetics of the reactions of $\text{ReO}_3(\text{NPH}_3)$ with ethylene on the singlet PES. Energies with zero point corrections in parenthesis.

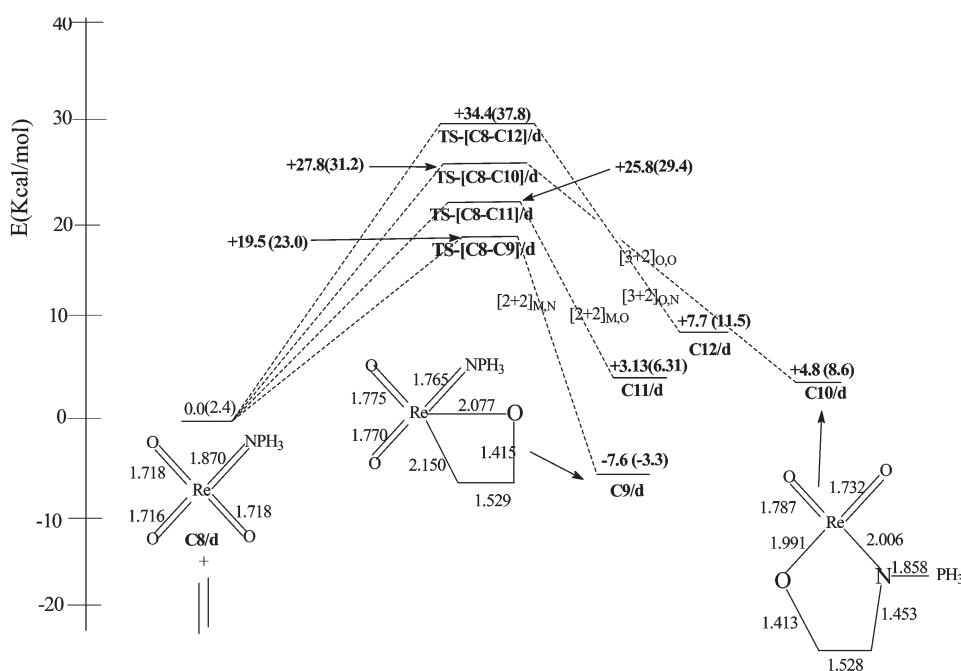


Fig. 5 Energetics of the reactions of $\text{ReO}_3(\text{NPH}_3)$ with ethylene on the doublet PES. Energies with zero point corrections in parenthesis.

reaction energy of $-3.4 \text{ kcal mol}^{-1}$. On the doublet surface, this reaction has an activation barrier of $27.8 \text{ kcal mol}^{-1}$ and reaction energy of $4.8 \text{ kcal mol}^{-1}$.

On the triplet surface, a stepwise addition of one of the $\text{C}=\text{C}\pi$ of ethylene to an oxo-ligand of $\text{ReO}_3(\text{NPH}_3)$ leads to an organometallic intermediate **B/t** (**X** in Scheme 2). The activation barrier along this route is $21.4 \text{ kcal mol}^{-1}$. The intermediate then re-arranges to the metallacycle **C10/t**, with an activation barrier of $16.0 \text{ kcal mol}^{-1}$ and reaction energy of $-26.6 \text{ kcal mol}^{-1}$.

On the singlet surface, the formation of the rhenaoxetane by $[2+2]$ addition has an activation barrier of $41.7 \text{ kcal mol}^{-1}$ and reaction energy of $2.9 \text{ kcal mol}^{-1}$. On the triplet surface, the addition of ethylene across the $\text{Re}=\text{O}$ bonds of $\text{ReO}_3(\text{NPH}_3)$ proceeds *via* a stepwise pathway. The stepwise addition of one of the $\text{C}=\text{C}\pi$ bonds of ethylene to an oxo-ligand of $\text{ReO}_3(\text{NPH}_3)$ leads to the formation of the intermediate **B/t**, with an activation barrier of 21.4 and reaction energy of $4.6 \text{ kcal mol}^{-1}$. This intermediate can re-arrange to form the metallaoxetane intermediate, with an

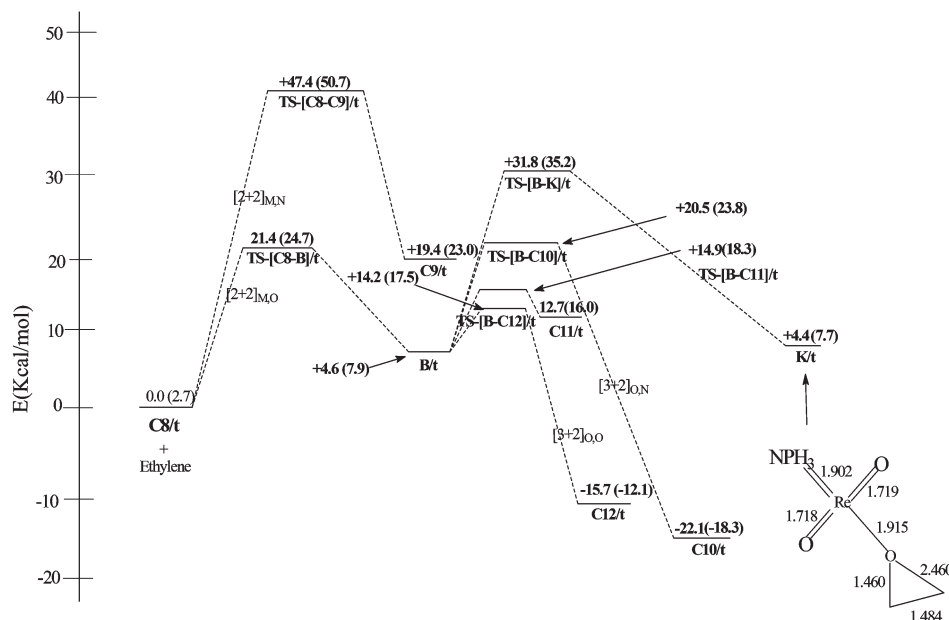


Fig. 6 Energetics of the reactions of $\text{ReO}_3(\text{NPH}_3)$ with ethylene on the triplet PES. Energies with zero point corrections in parenthesis.

activation barrier of $10.3 \text{ kcal mol}^{-1}$ and reaction energy of $8.2 \text{ kcal mol}^{-1}$.

On the doublet surface the rhenaoxetane formation has a barrier of $25.8 \text{ kcal mol}^{-1}$ and a reaction energy of $3.1 \text{ kcal mol}^{-1}$. The quartet rhenaoxetane is $5.7 \text{ kcal mol}^{-1}$ more stable than the doublet.

The re-arrangement of the metallaoxetane to the dioxylate on the singlet PES has a barrier of $52.1 \text{ kcal mol}^{-1}$ and the reaction energy is $4.2 \text{ kcal mol}^{-1}$. No re-arrangement path was found on the doublet, triplet and quartet surfaces. Thus, since the overall barrier for the re-arrangement of the singlet metallaoxetane ($52.1 \text{ kcal mol}^{-1}$) to the dioxylate is higher than the activation barrier for the direct $[3 + 2]$ addition of ethylene to the two oxygen atoms of singlet $\text{ReO}_3(\text{NPH}_3)$, the formation of the dioxylate by the re-arrangement of the metallaoxetane to the dioxylate is ruled out.

The $[2 + 2]$ addition of the $\text{C}=\text{C}$ bond of ethylene across the $\text{Re}=\text{N}$ bond of $\text{ReO}_3(\text{NPH}_3)$ (TS-[1-5] in Scheme 1) which was not reported in the work of Deubel *et al.*²⁰ and Deubel and Frenking³⁶ has been found to have a barrier and reaction energy of 30.5 and $-7.1 \text{ kcal mol}^{-1}$ respectively on the singlet surface, 47.4 and $19.4 \text{ kcal mol}^{-1}$ respectively on the triplet surface and 19.5 and $-7.6 \text{ kcal mol}^{-1}$ respectively on the doublet surface. For a related reaction between $(\text{O}=\text{O})_2\text{Os}(\text{=NH})_2$ and ethylene, Deubel and Muniz³⁷ calculated the activation barrier for the $[2 + 2]$ addition of the $\text{Os}=\text{N}$ bond across the $\text{C}=\text{C}$ bond of ethylene to form a four-membered metallacycle to be 36.4 and $34.2 \text{ kcal mol}^{-1}$ higher than the $[3 + 2]$ addition across $\text{O}=\text{Os}=\text{NH}$ and $\text{O}=\text{Os}=\text{O}$ moiety to form a five-membered metallacycle. In this work, the activation barrier for the $[2 + 2]$ addition of the $\text{Re}=\text{N}$ bond of $\text{ReO}_3(\text{NPH}_3)$ across the $\text{C}=\text{C}$ bond of ethylene to form a four-membered metallacycle on the doublet surface is 8.7 and

$14.9 \text{ kcal mol}^{-1}$ lower than the $[3 + 2]$ addition across $\text{O}=\text{Re}=\text{N}$ and $\text{O}=\text{Re}=\text{O}$ functionality of $\text{ReO}_3(\text{NPH}_3)$ to form a five-membered metallacycle. On the singlet surface, the activation barrier for the $[2 + 2]$ addition of the $\text{Re}=\text{N}$ bond of $\text{ReO}_3(\text{NPH}_3)$ across the $\text{C}=\text{C}$ bond of ethylene is 3.3 and $4.6 \text{ kcal mol}^{-1}$ higher than the $[3 + 2]$ addition across $\text{O}=\text{Os}=\text{O}$ and $\text{O}=\text{Os}=\text{NH}$ moiety to form a five-membered metallacycle.

In their study of the reaction of $\text{ReO}_3(\text{NPH}_3)$ with ethylene, Deubel *et al.*¹⁸ found the $[3 + 2]$ addition of the $\text{C}=\text{C}$ bond of ethylene across the $\text{O}=\text{Re}=\text{O}$ bond of singlet $\text{ReO}_3(\text{NPH}_3)$ to the dioxylate to be the most favorable reaction. However, in this work the addition across the $\text{N}=\text{Re}=\text{O}$ of the complex $\text{ReO}_3(\text{NPH}_3)$, which was not explored in the work of Deubel *et al.* was found to be the most favored pathway.

A search on the reaction surface for the re-arrangement of the singlet, doublet, triplet and quartet four-membered metallacycles C11 to the five-membered metallacycle C10 (*i.e.* TS-[5-6] in Scheme 1) proved unsuccessful.

The re-arrangement of the singlet five-membered metallacycle C10 (TS-[6-3] in Scheme 1) to the epoxide precursor has an activation barrier of $56.2 \text{ kcal mol}^{-1}$ and exothermicity of $44.5 \text{ kcal mol}^{-1}$. On the triplet surface, the epoxide precursor arises from the re-arrangement of the intermediate B/t, with an activation barrier of $27.3 \text{ kcal mol}^{-1}$ and reaction energy of $-0.2 \text{ kcal mol}^{-1}$. The re-arrangement of the singlet five-membered metallacycle C12/s to the epoxide precursor has an activation barrier of $70.4 \text{ kcal mol}^{-1}$ and endothermicity of $+34.0 \text{ kcal mol}^{-1}$ while the re-arrangement of the metallaoxetane to the epoxide precursor has an activation barrier of $74.8 \text{ kcal mol}^{-1}$ and endothermicity of $+38.2 \text{ kcal mol}^{-1}$. Also, the activation barrier and reaction energy for the formation of the epoxide precursor from direct attack of the $\text{C}=\text{C}$ bond on

the oxygen atom of $\text{ReO}_3(\text{NPH}_3)$ has been computed to be 52.9 and 41.1 kcal mol⁻¹ respectively. Therefore, the most plausible pathway for the formation of the epoxide precursor, if it is to form at all, is through the [2 + 2] addition to form intermediate B/t (X in Scheme 2) followed by re-arrangement to the epoxide precursor.

3.4. Reaction of $\text{ReO}_3(\text{CH}_3)$ with ethylene

The optimized geometries and the energy profile of the reaction of $\text{ReO}_3(\text{CH}_3)$ with ethylene is shown in Fig. 7. The singlet reactant is 68.0 kcal mol⁻¹ more stable than the triplet while the doublet is 72.5 kcal mol⁻¹ more stable than the quartet.

The formation of the dioxylate by [3 + 2] addition of ethylene across the O=Re=O bond of $\text{ReO}_3(\text{CH}_3)$ has activation barriers and reaction energies of 33.8 and 17.3 kcal mol⁻¹ respectively on the singlet surface, which are similar to the activation barrier and reaction energy reported by Gisdakis and Rösch,²² and 38.1 kcal mol⁻¹ and 12.50 kcal mol⁻¹ respectively on the doublet surface. The formation of the rhenaoxetane by [2 + 2] addition of the C=C bond of ethylene across the Re=O bond of $\text{ReO}_3(\text{CH}_3)$ complex has been found to occur on both the singlet and doublet surfaces. The formation of the rhenaoxetane has an activation barrier and reaction energy of 47.6 kcal mol⁻¹ and 4.8 kcal mol⁻¹ respectively on the singlet surface and 25.9 kcal mol⁻¹ and 1.2 kcal mol⁻¹ respectively on the doublet surface. Pietsch *et al.*¹⁹ in a thermodynamic study of the reaction $\text{ReO}_3(\text{CH}_3)$ with ethylene found the dioxylate and oxetane to be endothermic by 31 and 10 kcal

mol⁻¹ respectively. In this work, the dioxylate and metallaoxetane computed on the singlet and doublet PES are endothermic, while dioxylate and metallaoxetane computed on the triplet and quartet reaction surfaces are exothermic.

The re-arrangement of the metallaoxetane to the dioxylate (TS-[4-2] in Scheme 1) has an activation barrier of 48.8 kcal mol⁻¹ on the singlet surface and 53.7 kcal mol⁻¹ on the triplet surface. No re-arrangement paths were located on the doublet and quartet surfaces. Since the lowest activation barrier for the re-arrangement of the singlet metallaoxetane to the dioxylate (48.8 kcal mol⁻¹) is higher than the activation barrier for the direct [3 + 2] addition of the C=Cπ bond of ethylene across O=Re=O bond of singlet $\text{ReO}_3(\text{CH}_3)$, the formation of the singlet dioxylate will proceed from the direct [3 + 2] addition of ethylene across the two oxygens of singlet $\text{ReO}_3(\text{CH}_3)$ rather than through the initial [2 + 2] addition route.

The PES for the reaction of $\text{ReO}_3(\text{CH}_3)$ with ethylene was explored in an attempt to establish a pathway for epoxide precursor formation through re-arrangement of the metallaoxetane, the dioxylate or by direct [2 + 1] addition. The search for a transition state (*i.e.* TS-[2-3] in Scheme 1) for the re-arrangement of the dioxylate to the epoxide precursor was not fruitful, indicating that such a transformation may not occur. The rearrangement of the metallaoxetane to the epoxide precursor has activation barriers and reaction energies of 65.5 kcal mol⁻¹ and +38.4 kcal mol⁻¹ respectively on the singlet surface and 29.5 kcal mol⁻¹ and +24.7 kcal mol⁻¹ respectively on the doublet surface. The activation barrier and reaction energy for

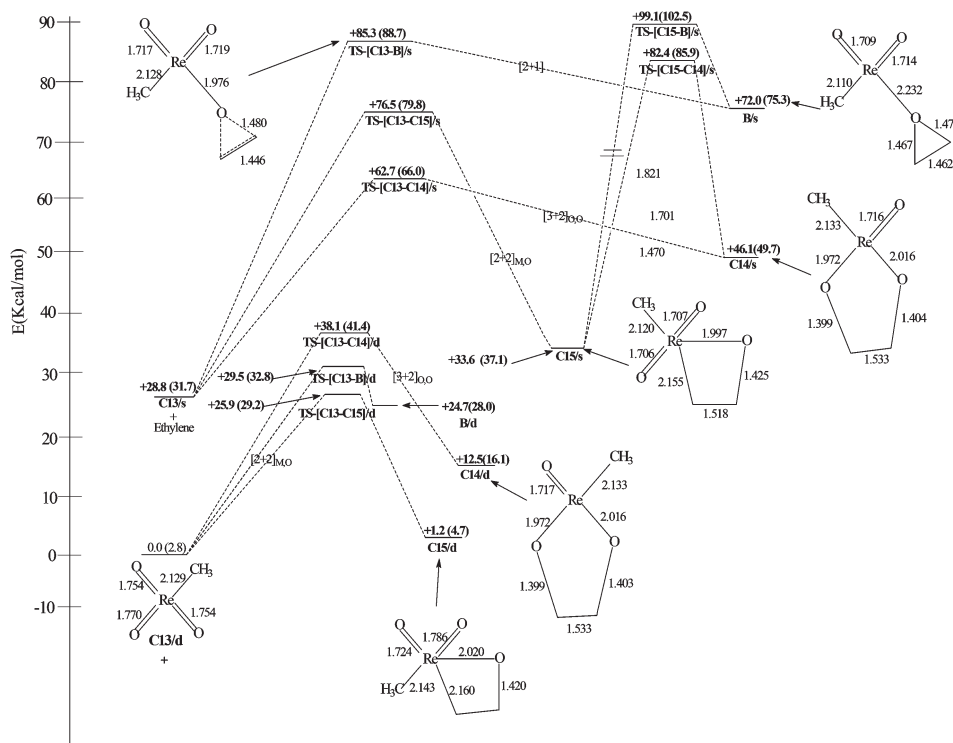


Fig. 7 Energetics of the reactions of $\text{ReO}_3(\text{CH}_3)$ with ethylene (s = singlet, d = doublet). ^aEnergies with zero point corrections in parenthesis. ^aNote that the singlet structures and the doublet structures are not isoelectronic and therefore their energies are not to be compared directly.

the formation of the singlet epoxide precursor from direct attack of the C=C bond on the oxygen atom of $\text{ReO}_3(\text{OCH}_3)$ has been computed to be 56.5 and +43.1 kcal mol^{-1} . Therefore if reactions considered here are irreversible, then the most plausible pathway for the formation of the epoxide precursor may be direct [2 + 1] addition on the doublet surface.

3.5. Reaction of $\text{ReO}_3(\text{OCH}_3)$ with ethylene

Fig. 8 shows the optimized geometries and relative energies of the main stationary points involved in the reaction between $\text{ReO}_3(\text{OCH}_3)$ and ethylene. The singlet reactant is 64.1 kcal mol^{-1} more stable than the triplet while the doublet reactant is 60.1 kcal mol^{-1} more stable than the quartet.

The formation of the dioxyate by [3 + 2] addition of the C=C bond of ethylene across the O=Re=O functionality of $\text{ReO}_3(\text{OCH}_3)$ has activation barriers and reaction energies of 26.3 kcal mol^{-1} and +3.9 kcal mol^{-1} respectively on the singlet surface and 37.0 kcal mol^{-1} and +5.8 kcal mol^{-1} on the doublet surface. No pathways were found on the triplet and quartet surfaces.

The formation of the rhenaoxetane by [2 + 2] addition of the C=C bond of ethylene across the Re=O bond of $\text{ReO}_3(\text{OCH}_3)$ has activation barriers and reaction energies of 30.6 and 6.2 kcal mol^{-1} respectively on the singlet surface and 31.6 kcal mol^{-1} and -2.0 kcal mol^{-1} respectively on the doublet surface. The triplet rhenaoxetane is 35.9 kcal mol^{-1} more stable than the singlet while the quartet is 10.6 kcal mol^{-1} more stable than the doublet. The re-arrangement of

the metallaioxetane to the dioxyate has a barrier of 42.4 kcal mol^{-1} on the singlet surface and 36.2 kcal mol^{-1} on the doublet surface. No triplet or quartet transition states were located for re-arrangement of the metallaioxetane to dioxyate. Since the re-arrangement of the doublet metallaioxetane to the dioxyate has an activation barrier (36.2 kcal mol^{-1}) comparable to that for the initial [3 + 2] addition of $\text{C}=\text{C}\pi$ of ethylene across $\text{O}=\text{Re}=\text{O}$ of doublet $\text{ReO}_3(\text{OCH}_3)$ (37.0 kcal mol^{-1}) and the barrier for the metallaioxetane formation is lower (31.6 kcal mol^{-1}), the formation of the dioxyate could proceed from the two-step process involving formation of the metallaioxetane. However, on the singlet surface the dioxyate will proceed from the direct [3 + 2] addition of ethylene across the two oxygen atoms of singlet $\text{ReO}_3(\text{OCH}_3)$ and not from the re-arrangement of the metallaioxetane.

An epoxide precursor was optimized from the reaction of $\text{ReO}_3(\text{OCH}_3)$ with ethylene. The rearrangement of the singlet four-membered metallaioxetane to the epoxide precursor has an activation barrier of 61.8 kcal mol^{-1} and reaction energy of 27.8 kcal mol^{-1} . The activation barrier and reaction energy for the formation of the singlet epoxide precursor from direct attack of the C=C bond on the oxygen atom of $\text{ReO}_3(\text{OCH}_3)$ has been computed to be 60.4 and +34.0 kcal mol^{-1} respectively while that on the doublet surface is 32.7 kcal mol^{-1} and +26.0 kcal mol^{-1} respectively. Therefore, the most plausible pathway for the formation of the epoxide, if it is to form at all, is by direct [2 + 1] addition on the doublet surface since it has the lowest activation barrier.

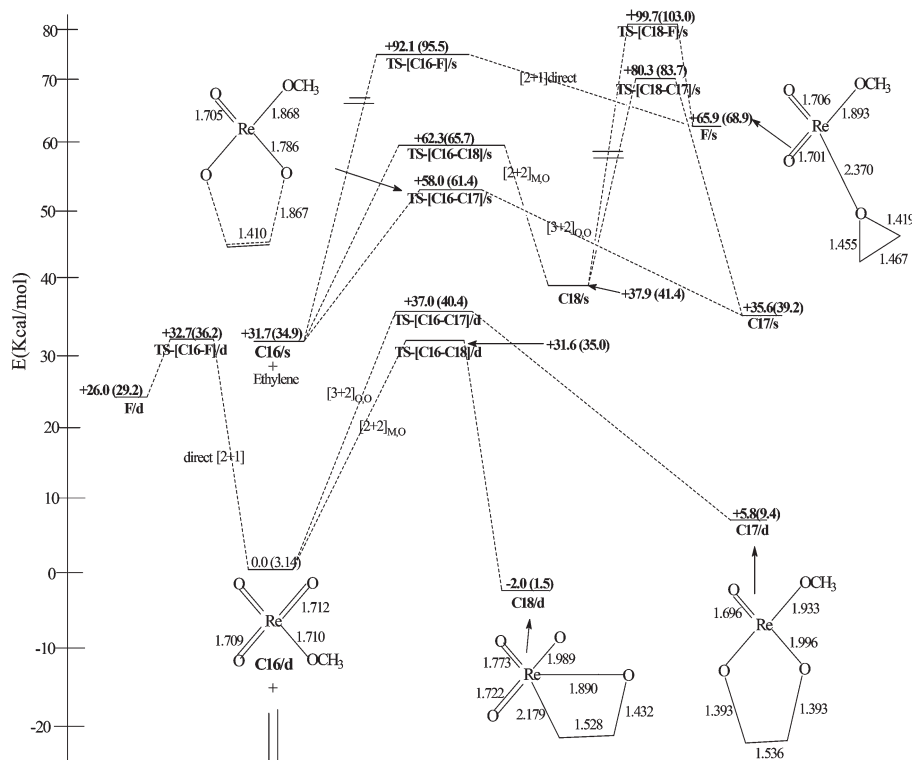


Fig. 8 Energetics of the reactions of $\text{ReO}_3(\text{OCH}_3)$ with ethylene (s = singlet, d = doublet). ^bEnergies with zero point corrections in parenthesis. ^bNote that the singlet structures and the doublet structures are not isoelectronic and therefore their energies are not to be compared directly.

3.6. Reaction of ReO_3Cp with ethylene

Fig. 9 shows the optimized geometries and relative energies of the main stationary points involved in the reaction between ReO_3Cp and ethylene. In the singlet reactant, the cyclopentadienyl ligand (Cp) is bonded to the metal center in a η^5 -fashion *i.e.* $\text{Re-C (Cp)} = 2.486, 2.511, 2.518, 2.492$ and 2.458 \AA , in agreement with work of Deubel and Frenking^{20,36}. No doublet, triplet and quartet species could be located for the reactant.

On the singlet PES, the $[3 + 2]$ addition of the $\text{C}=\text{C}$ bond of ethylene across the $\text{O}=\text{Re}=\text{O}$ bonds of ReO_3Cp to form the metalladioxolane intermediate has an activation barrier of $13.60 \text{ kcal mol}^{-1}$ and reaction energy of $-18.50 \text{ kcal mol}^{-1}$, which is in agreement with the activation and reaction energies reported by Deubel and Frenking²⁰ and Gisdakis and Rösch.²² The Cp ligand in the singlet $[3 + 2]$ transition state structure shows η^5 -bonding to the metal centre *i.e.* ($\text{Re-C (Cp)} = 2.400, 2.453, 2.447, 2.540, 2.557 \text{ \AA}$). It also shows a η^5 -bonding fashion in the singlet ReO_3Cp dioxylate ($\text{Re-C (Cp)} = 2.336, 2.329, 2.407, 2.519, 2.472 \text{ \AA}$) which disagrees with the η^3 -bonding fashion Deubel and Frenking²⁰ reported for the ReO_3Cp -dioxylate.

On the singlet PES, the formation of the rhenaoxetane by $[2 + 2]$ addition of the $\text{C}=\text{C}$ bond of ethylene across the $\text{Re}=\text{O}$ bond of ReO_3Cp complex has an activation barrier of $33.00 \text{ kcal mol}^{-1}$ and reaction energy of $-5.76 \text{ kcal mol}^{-1}$. The Cp ligand in the singlet $[2 + 2]$ transition state structure

shows η^3 -bonding to the metal centre *i.e.* ($\text{Re-C (Cp)} = 2.227, 2.744, 2.793 \text{ \AA}$) while in the intermediate it shows a η^2 -bonding mode to the Re centre, ($\text{Re-C (Cp)} = 2.174, 2.923 \text{ \AA}$). This bonding mode is in disagreement with the work of Deubel and Frenking^{20,36} who observed a η^1 -bonding for the ReO_3Cp -oxetane intermediate. No metallaoxetane intermediates were located on the doublet, triplet and quartet PES.

In the thermodynamic study of the reaction of ReO_3Cp with ethylene, Pietsch *et al.*¹⁹ asserted that strong π -bonding ligands such as Cp thermodynamically favor dioxylate formation because of π -bond strain relief. Pietsch *et al.*¹⁹ had calculated the dioxylate to be exothermic by 8 kcal mol^{-1} while the metallaoxetane was endothermic by 5 kcal mol^{-1} . In this work, the dioxylate and the oxetane products are both exothermic, but the dioxylate is more stable.

The re-arrangement of the metallaoxetane to the dioxylate appears to occur exclusively on the singlet PES. The activation barrier for this step is $70.8 \text{ kcal mol}^{-1}$. Since the activation barrier for the direct $[3 + 2]$ addition of $\text{C}=\text{C}\pi$ of ethylene across the $\text{O}=\text{Re}=\text{O}$ bond of singlet ReO_3Cp ($13.6 \text{ kcal mol}^{-1}$) is lower than the barrier for the re-arrangement of the metallaoxetane to the dioxylate, the formation of the dioxylate from the $[2 + 2]$ addition route is ruled out for this system. The potential energy surface of the reaction of rhenium tetraoxide with ethylene was further explored in an attempt to locate an epoxide precursor ($\text{CpO}_2\text{-Re-OC}_2\text{H}_4$) (3 in Scheme 1), but no

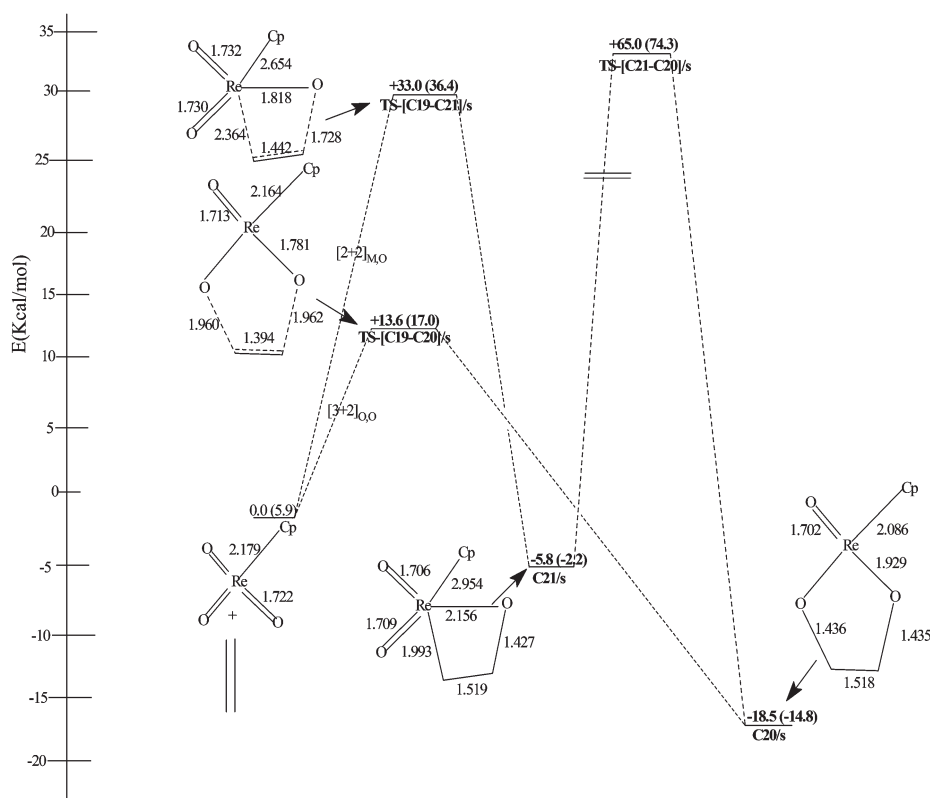


Fig. 9 Energetics of the reactions of ReO_3Cp with ethylene. Energies with zero point corrections in parenthesis.

such minimum was found on these reaction surfaces. This is in agreement with the findings of Burrell *et al.*,³⁸ Herrmann *et al.*,³⁹ Klahn-Olive *et al.*⁴⁰ and Kühn *et al.*⁴¹ who reported that CpReO₃ reacts with olefins to predominately form dioxy-lates (2 in Scheme 1).

4. Conclusion

The following conclusions are drawn from the results presented.

1. On the singlet surface, the [3 + 2] addition leading to the formation of a dioxylate intermediate is the predominantly favored pathway in the complexes studied; it is favored over the [2 + 2] addition pathway leading to the formation of a metallaoxetane intermediate for the complexes LReO₃ (L = O⁻, Cl, CH₃, OCH₃, Cp, NPH₃). The activation barriers for the formation of the dioxylate follows the order O⁻ > CH₃ > NPH₃ > CH₃O⁻ > Cl⁻ > Cp and the reaction energies follow the order CH₃ > O⁻ > NPH₃ > CH₃O⁻ > Cl⁻ > Cp. This order in the activation barriers for the ligands is exactly the same as that found for the technetium complexes TcO₃ (L = O⁻, Cl, CH₃, OCH₃, Cp, NPH₃) in Aniagaeyi *et al.*⁴²

2. On the doublet PES, the [2 + 2] addition leading to the formation of the metallaoxetane intermediate is favored over dioxylate formation for the ligands L = CH₃, CH₃O⁻, Cl⁻. The activation barriers for the formation of the metallaoxetane intermediate are found to increase for the ligands in the order CH₃ < Cl⁻ < CH₃O⁻ while the reaction energies follow the order Cl⁻ < CH₃O⁻ < CH₃. The subsequent re-arrangement of the metallaoxetane intermediate to the dioxylate is only feasible in the case of ReO₃(OCH₃).

3. On the triplet surface, the [2 + 2] addition to form the metallaoxetane is favored over the [3 + 2] addition to form the dioxylate for ReO₃(NPH₃).

4. Of all the complexes studied, the best dioxylating catalyst is ReO₃Cp (singlet surface); the best epoxidation catalyst is ReO₃Cl (singlet surface); and the best metallaoxetane formation catalyst is ReO₃(NPH₃) (triplet surface).

5. The ReO₄⁻ and ReO₃Cp reaction surfaces are much 'cleaner' than the rest of the complexes studied *i.e.* there are no side-reactions competing with the formation of the dioxylate and metallaoxetane intermediates. This trend was also observed for the technetium complexes TcO₃ (L = O⁻, Cl, CH₃, OCH₃, Cp, NPH₃) in Aniagaeyi *et al.*⁴²

6. There does not appear to be a spin-crossover in any of the pathways studied.

Acknowledgements

The authors are very grateful to the National Council for Tertiary Education (NCTE), Ghana, for a research grant under the Teaching and Learning Innovation Fund (TALIF-KNUSTR/3/008/2005).

References

- H. C. Kolb, M. S. Van Nieuwenzhe and K. B. Sharpless, Catalytic asymmetric dihydroxylation, *Chem. Rev.*, 1994, **94**, 2483–2547.
- M. Torrent, M. Solà and G. Frenking, Theoretical studies of some transition-metal-mediated reactions of industrial and synthetic importance, *Chem. Rev.*, 2000, **100**, 439–494.
- M. Schröder, Osmium tetroxide *cis*-hydroxylation of unsaturated substrates, *Chem. Rev.*, 1980, **80**, 187–213.
- S. Dapprich, G. Ujaque, F. Maseras, A. Lledos, D. G. Musaev and K. Morokuma, Theory does not support an osmaoxetane intermediate in the osmium-catalyzed dihydroxylation of olefins, *J. Am. Chem. Soc.*, 1996, **118**, 11660–11661.
- U. Pidun, C. Boehme and G. Frenking, Theory rules out a [2 + 2] addition of osmium tetroxide to olefins as initial step of the dihydroxylation reaction, *Angew. Chem., Int. Ed. Engl.*, 1996, **35**, 2817–2820.
- M. Torrent, L. Deng, M. Duran, M. Sola and T. Ziegler, Density functional study of the [2 + 2] and [2 + 3] cycloaddition mechanisms for the osmium-catalyzed dihydroxylation of olefins, *Organometallics*, 1997, **16**, 13–19.
- A. J. Del Monte, J. Haller, K. N. Houk, K. B. Sharpless, D. A. Singleton, T. Strassner and A. A. Thomas, Experimental and theoretical kinetic isotope effects for asymmetric dihydroxylation. Evidence supporting a rate-limiting "(3 + 2)" cycloaddition, *J. Am. Chem. Soc.*, 1997, **119**, 9907–9908.
- D. W. Nelson, A. Gypser, P. T. Ho, H. C. Kolb, T. Kondo, H. Kwong, D. V. McGrath, A. E. Rubin, P. Norrby, K. P. Gable and K. B. Sharpless, Toward an understanding of the high enantioselectivity in the osmium-catalyzed asymmetric dihydroxylation. 4. Electronic effects in amine-accelerated osmylations, *J. Am. Chem. Soc.*, 1997, **119**, 1840–1858.
- E. J. Corey and M. C. Noe, Kinetic investigations provide additional evidence that an enzyme-like binding pocket is crucial for high enantioselectivity in the bis-cinchona alkaloid catalyzed asymmetric dihydroxylation of olefins, *J. Am. Chem. Soc.*, 1996, **118**, 319–329.
- J. Haller, T. Strassner and K. N. Houk, Models for stereoselective additions to chiral allylic ethers: osmium tetroxide bis-hydroxylations, *J. Am. Chem. Soc.*, 1997, **119**, 8031–8034.
- K. N. Houk and T. Strassner, Establishing the (3 + 2) Mechanism for the permanganate oxidation of alkenes by theory and kinetic isotope effects, *J. Org. Chem.*, 1999, **64**, 800–802.
- M. Torrent, L. Deng and T. Ziegler, A density functional study of [2 + 3] versus [2 + 2] addition of ethylene to chromium–oxygen bonds in chromyl chloride, *Inorg. Chem.*, 1998, **37**, 1307–1314.
- R. Criegee, *Osmiumsäure-ester als Zwischenprodukte bei Oxydationen*, *Justus Liebigs Ann. Chem.*, 1936, **522**, 75–96.
- R. Criegee, B. Marchaand and H. Wannowius, *Zur Kenntnis der organischen Osmium-Verbindungen. II. Mitteilung*, *Justus Liebigs Ann. Chem.*, 1942, **550**, 99–133.

- 15 K. B. Sharpless, A. Y. Teranishi and J. E. Bäckvall, Chromyl chloride oxidations of olefins. Possible role of organometallic intermediates in the oxidations of olefins by oxo transition metal species, *J. Am. Chem. Soc.*, 1977, **99**, 3120–3128.
- 16 A. Dauth and J. A. Love, Reactivity by design—Metalloxetanes as centerpieces in reaction development, *Chem. Rev.*, 2011, **111**, 2010–2047.
- 17 R. Tia and E. Adei, Computational studies of the mechanistic aspects of olefin metathesis reactions involving metal oxo-alkylidene complexes, *Comput. Theor. Chem.*, 2011, **971**, 8–18.
- 18 D. V. Deubel, S. Schlecht and G. Frenking, [2 + 2] versus [3 + 2] Addition of metal oxides across C=C double bonds: toward an understanding of the surprising chemo- and periselectivity of transition-metal-oxide additions to ketene, *J. Am. Chem. Soc.*, 2001, **123**, 10085–10094.
- 19 M. A. Pietsch, T. V. Russo, R. B. Murphy, R. L. Martin and A. K. Rappé, LReO_3 epoxidizes, *cis*-dihydroxylates, and cleaves alkenes as well as alkenylates aldehydes: toward an understanding of why, *Organometallics*, 1998, **17**, 2716–2719.
- 20 D. V. Deubel and G. Frenking, Are there metal oxides that prefer a [2 + 2] addition over a [3 + 2] addition to olefins? Theoretical study of the reaction mechanism of LReO_3 addition ($\text{L} = \text{O}^-$, Cl, Cp) to ethylene, *J. Am. Chem. Soc.*, 1999, **121**, 2021–2031.
- 21 D. Becke, Density-functional thermochemistry (III). The role of exact exchange, *J. Chem. Phys.*, 1993, **98**, 5648–5652.
- 22 P. Gisdakis and N. Rösch, [2 + 3] Cycloaddition of ethylene to transition metal oxo compounds. Analysis of density functional results by Marcus theory, *J. Am. Chem. Soc.*, 2001, **123**, 697–701.
- 23 R. Poli, Open-shell organometallics as a bridge between Werner-type and low-valent organometallic complexes. The effect of the spin state on the stability, reactivity and structure, *Chem. Rev.*, 1996, **96**, 2135–2204.
- 24 J. N. Harvey, R. Poli and K. M. Smith, Understanding the reactivity of transition metal complexes involving multiple spin states, *Coord. Chem. Rev.*, 2003, **238–239**, 347–361.
- 25 A. L. Buchachenko, Recent advances in spin chemistry, *Pure Appl. Chem.*, 2000, **72**, 2243–2258.
- 26 D. Schröder, S. Shaik and H. Schwarz, Two-state reactivity as a new concept in organometallic chemistry, *Acc. Chem. Res.*, 2000, **33**, 139–145.
- 27 *Spartan*, Wavefunction, Inc., 18401 Von Karman Ave., # 370, Irvine, CA, 92715, USA.
- 28 T. H. Dunning Jr and P. J. Hay, Gaussian basis sets for molecular calculations, in *Modern Theoretical Chemistry*, ed. H. F. Schaefer III, Plenum, New York, 1976, vol. 3, pp. 1–28.
- 29 W. R. Wadt and P. J. Hay, *Ab initio* effective core potentials for molecular calculations. Potentials for the transition metal atoms Sc to Hg, *J. Chem. Phys.*, 1985, **82**, 270–283.
- 30 W. R. Wadt and P. J. Hay, *Ab initio* effective core potentials for molecular calculations. Potentials for main group elements Na to Bi, *J. Chem. Phys.*, 1985, **82**, 284–298.
- 31 W. R. Wadt and P. J. Hay, *Ab initio* effective core potentials for molecular calculations. Potentials for K to Au including the outermost core orbitals, *J. Chem. Phys.*, 1985, **82**, 299–310.
- 32 M. Clark, R. D. Cramer and N. V. Opdenbosch, Validation of the general purpose tripos 5.2 force field, *J. Comput. Chem.*, 1989, **10**, 982–1012.
- 33 M. Torrent, L. Deng, M. Duran, M. Sola and T. Ziegler, Mechanism for the formation of epoxide and chlorine-containing products in the oxidation of ethylene by chromyl chloride: a density functional study, *Can. J. Chem.*, 1999, **77**, 1476–1491.
- 34 R. Tia and E. Adei, Density functional theory studies of the mechanisms of oxidation of ethylene by chromyl chloride, *Inorg. Chem.*, 2009, **48**, 11434–11443.
- 35 C. Boehme, S. Dapprich, D. Deubel, U. Pidun, M. Stahl, R. Stegmann and G. Frenking, Reaction mechanisms of transition metal catalyzed processes, *ACS Symp. Ser.*, 1999, **721**, 114–127.
- 36 D. V. Deubel and G. Frenking, [3 + 2] versus [2 + 2] addition of metal oxides across C=C bonds. Reconciliation of experiment and theory, *Acc. Chem. Res.*, 2003, **36**, 645–651.
- 37 D. V. Deubel and K. Muniz, Road maps for nitrogen-transfer catalysis: the challenge of the osmium(VIII)-catalyzed diamination, *Chem.-Eur. J.*, 2004, **10**, 2475–2486.
- 38 A. K. Burrell, F. A. Cotton, L. M. Daniels and V. Petricek, Structure of crystalline $(\text{C}_5\text{Me}_5)\text{ReO}_3$ and implied non-existence of “ $(\text{C}_5\text{Me}_5)\text{Tc}_2\text{O}_3$ ”, *Inorg. Chem.*, 1995, **34**, 4253–4255.
- 39 W. A. Herrmann, R. Serrano and H. Bock, Exhaustive oxidative decarbonylation of metal carbonyls by light and oxygen: the example of $(\eta^5\text{-C}_5\text{Me}_5)\text{ReO}_3$, *Angew. Chem., Int. Ed. Engl.*, 1984, **23**, 383–388.
- 40 A. H. Klahn-Oliva and D. Sutton, (Pentamethylcyclopentadienyl) trioxorhenium, $(\eta^5\text{-C}_5\text{Me}_5)\text{ReO}_3$, *Organometallics*, 1984, **3**, 1313–1314.
- 41 F. E. Kühn, W. A. Herrmann, R. Hahn, M. Elison, J. Blümel and E. Herdtweck, Multiple bonds between main-group elements and transition metals. 130. (Cyclopentadienyl) trioxorhenium(VII): synthesis, derivatives, and properties, *Organometallics*, 1994, **13**, 1601–1606.
- 42 A. Aniagyeyi, R. Tia and E. Adei, A density functional theory study of the mechanisms of oxidation of ethylene by technetium oxo complexes, *Comput. Theor. Chem.*, 2013, **1009**, 70–80.